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Transmitted herewith for filing is the patent application of:

**Inventors: Salvatore COFFA  
Sebania LIBERTINO  
Mario SAGGIO  
Ferruccio FRISINA**

**For : SEMICONDUCTOR DEVICE FOR ELECTRO-OPTIC APPLICATIONS, METHOD  
FOR MANUFACTURING SAID DEVICE AND CORRESPONDING  
SEMICONDUCTOR LASER DEVICE**

**Enclosed are:**

- ☒ Patent Application: 18 pages, 27 claims.
- ☒ 6 Sheets of drawings.
- ☒ A Preliminary Amendment.
- ☒ Citation Under 37 CFR 1.97 and PTO-1449.
- ☒ Submission of Proposed Drawing Modification.

The Declaration and Filing Fee are **NOT ENCLOSED**.

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Date: September 1, 2000

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**MICHAEL W. TAYLOR**  
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In re Patent Application of:  
COFFA ET AL.

Serial No. Not yet assigned

Filing Date: Herewith

For: SEMICONDUCTOR DEVICE FOR  
ELECTRO-OPTIC APPLICATIONS, METHOD  
FOR MANUFACTURING SAID DEVICE AND  
CORRESPONDING SEMICONDUCTOR LASER  
DEVICE

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PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
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Sir:

Prior to the calculation of fees and examination of  
the present application, please enter the amendments and  
remarks set out below.

In the Drawings:

Submitted herewith is a request for proposed drawing  
modifications as indicated in red ink to label FIGS. 1, 2a,  
2b, 3a, 3b and 11 as prior art.

In the Claims:

Please cancel Claims 1-27.

Please add new Claims 28-54.

28. A semiconductor device for electro-optic  
applications comprising:

0965390-090100





[illegible]

Filing Date: Herewith

46. A semiconductor laser device according to Claim 38, further comprising a buried reflecting layer to delimit a bottom of the waveguide.

	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	2101	2102	2103	2104	2105	2106	2107	2108	2109	2110	2111	2112	2113	2114	2115	2116	2117	2118	2119	2120	2121	2122	2123	2124	2125	2126	2127	2128	2129	2130	2131	2132	2133	2134	2135	2136	2137	2138	2139	2140	2141	2142	2143	2144	2145	2146	2147	2148	2149	2150	2151	2152	2153	2154	2155	2156	2157	2158	2159	2160	2161	2162	2163	2164	2165	2166	2167	2168	2169	2170	2171	2172	2173	2174	2175	2176	2177	2178	2179	2180	2181	2182	2183	2184	2185	2186	2187	2188	2189	2190	2191	2192	2193	2194	2195	2196	2197	2198	2199	2200	2201	2202	2203	2204	2205	2206	2207	2208	2209	2210	2211	2212	2213	2214	2215	2216	2217	2218	2219	2220	2221	2222	2223	2224	2225	2226	2227	2228	2229	2230	2231	2232	2233	2234	2235	2236	2237	2238	2239	2240	2241	2242	2243	2244	2245	2246	2247	2248	2249	2250	2251	2252	2253	2254	2255	2256	2257	2258	2259	2260	2261	2262	2263	2264	2265	2266	2267	2268	2269	2270	2271	2272	2273	2274	2275	2276	2277	2278	2279	2280	2281	2282	2283	2284	2285	2286	2287	2288	2289	2290	2291	2292	2293	2294	2295	2296	2297	2298	2299	2300	2301	2302	2303	2304	2305	2306	2307	2308	2309	2310	2311	2312	2313	2314	2315	2316	2317	2318	2319	2320	2321	2322	2323	2324	2325	2326	2327	2328	2329	2330	2331	2332	2333	2334	2335	2336	2337	2338	2339	2340	2341	2342	2343	2344	2345	2346	2347	2348	2349	2350	2351	2352	2353	2354	2355	2356	2357	2358	2359	2360	2361	2362	2363	2364	2365	2366	2367	2368	2369	2370	2371	2372	2373	2374	2375	2376	2377	2378	2379	2380	2381	2382	2383	2384	2385	2386	2387	2388	2389	2390	2391	2392	2393	2394	2395	2396	2397	2398	2399	2400	2401	2402	2403	2404	2405	2406	2407	2408	2409	2410	2411	2412	2413	2414	2415	2416	2417	2418	2419	2420	2421	2422	2
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Variable	Mean	SD	Min	Max
Age	34.5	10.2	22	55
Gender	0.5	0.5	0	1
Marital status	0.6	0.5	0	1
Education	12.5	1.5	10	16
Income	1500	500	500	3000
Health status	0.8	0.2	0	1
Exercise frequency	2.5	1.5	0	5
Stress level	3.5	1.5	1	5
Sleep quality	4.0	1.0	2	5
Work satisfaction	3.8	1.2	1	5
Life satisfaction	4.2	1.0	2	5

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**SEMICONDUCTOR DEVICE FOR ELECTRO-OPTIC APPLICATIONS,  
METHOD FOR MANUFACTURING SAID DEVICE AND CORRESPONDING  
SEMICONDUCTOR LASER DEVICE**

**Field of the Invention**

The present invention relates to semiconductor devices, and, more particularly, to a semiconductor device for electro-optic applications and  
5 a corresponding semiconductor laser device. The semiconductor device includes a rare-earth ions doped P/N junction integrated on a semiconductor substrate.

**Background of the Invention**

Silicon is the material most commonly used  
10 for manufacturing advanced microelectronic devices. Current electronic manufacturing technology may be considered mature, but a new optical communication technology is emerging. In optical communication technology, basic information is carried by optical  
15 signals having standard wavelengths in a range between 1.3 and 1.55 microns.

It would be highly desirable to combine optical and electronic functions in silicon to implement optical-electronic applications in a single  
20 semiconductor device. Significant progress has recently been made in the combination of electronic and optical technologies for manufacturing semiconductor optical devices operating at near infrared wavelengths.

A few examples may be found in the following three articles: 1) optical waveguides can be made with low losses, as disclosed by Fisher et al. in the IEEE article titled "Photonics Technology Letters" 8, 647 (1996); 2) light emitting diodes based on erbium (Er) doping have been demonstrated, as reported by Coffa et al. in the MRS Bulletin on "Si-based optoelectronics" 23, 4, edited by Materials Research Society, S. Coffa and L. Tsybeskov guest editors; and 3) optical switches based on an electro-optic effect can be formed on silicon, as disclosed by Cutolo et al. in Lightwave Technology 15, 505 (1997)

There is, however, a main limitation for using silicon in optical applications such as, for example, optical interconnections intra-chip or between chips. This main limitation is due to the lack of a coherent light source, i.e., a silicon-based laser. Silicon is not suitable as an efficient light emission due to its indirect band gap.

Several approaches have been used to try to overcome this problem. The use of optical doping of silicon with rare earth ions, with or without impurities such as O, F, and N presents several interesting features not only for manufacturing efficient light emitting diodes, but also for the attempt of forming a silicon-based laser. Efficient room temperature electro-luminescence from erbium-oxygen co-doped silicon diodes has been reported. Moreover, the long spontaneous lifetime of the first excited state of erbium (about 1 ms), can insure a population inversion which is needed for an efficient light emission.

To fully understand all the aspects of the present invention, a schematic diagram of the mechanisms connected to electrical pumping of erbium



These and other objects, advantages and features are provided by an electrically pumped optical amplification, and laser action using erbium-doped crystalline silicon. The semiconductor device  
5 according to the present invention comprises an erbium-doped P/N junction integrated within a semiconductor cavity or waveguide.

The invention allows combination of impact excitation of Er ions by hot carriers in the depletion  
10 layer of the reverse biased junction with a proper Er doping and electric field distribution. Electro-optical amplification is provided when the Er ions are within the depletion layer of the semiconductor device. The Er ions provide proper acceleration of the carriers  
15 before they enter the Er-doped region.

Accordingly, the present invention is directed to a semiconductor device, a semiconductor laser device, and a method for manufacturing a semiconductor device.

20                    **Brief Description of the Drawings**

The features and the advantages of the semiconductor device and corresponding manufacturing method according to the invention, will become clear from the following description of a preferred  
25 embodiment given as a non-limiting example with reference to the attached drawings.

Figure 1 shows the 4f electronic levels of an erbium ion and the transitions giving rise to a 1.54  $\mu\text{m}$  light emission according to the prior art;

30                    Figures 2a and 2b respectively show the excitation mechanism for rare earth ions in crystalline silicon according to the prior art, with the specific case of Er ions being illustrated;

Figures 3a and 3b respectively show the de-excitation mechanisms for rare earth ions in crystalline silicon according to the prior art;

Figures 4 to 8 are schematic cross sectional views of a semiconductor device according to the present invention during subsequent manufacturing process steps;

Figure 9 shows a cross-sectional perspective view of a semiconductor device according to the present invention;

Figure 10 shows a schematic vertical cross-sectional view of the semiconductor device of Figure 9 showing the location of the rare earth ions needed to achieve laser action;

Figure 11 shows a schematic view of a dark region in the central depletion layer where Er ions are pumped by impact excitation according to the prior art;

Figure 12 shows a diagram of the doping concentration versus doping depth for the semiconductor device according to the present invention; and

Figure 13 shows a diagram of the electric field versus doping depth for the semiconductor device according to the present invention.

#### **Detailed Description of the Preferred Embodiments**

With reference to the enclosed drawings, reference 1 is a semiconductor device formed according to the present invention for electro-optic applications. The semiconductor material is preferably silicon. The process for manufacturing the semiconductor device 1 will now be disclosed. The specific features of the semiconductor device 1 will be discussed in greater detail below.

The manufacturing process will now be described step by step. A silicon-on-insulator (SOI)

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wafer is provided as a substrate 2 for the semiconductor device 1. A known SIMOX or BESOI technology may be used to provide the SOI wafer. However, instead of using a SOI wafer, the substrate  
5 for the semiconductor device 1 may simply comprise a double layer of a semiconductor material. For instance, the double layer may include a first highly doped substrate layer and a second upper lightly doped epitaxial layer. In such a case, the lower substrate  
10 layer would have a lower refraction index and would act as a reflective layer for the incident light.

The SOI substrate 2 is formed by a first lower monocrystalline layer 3, an oxide layer 4, and a second upper monocrystalline layer 5. The first and  
15 the second monocrystalline layers may be doped with a dopant having a first conductivity type, for instance N-type. The second upper layer 5 is less doped than the first lower layer 3. An oxide layer 7 is grown on top of the substrate 2, that is, over the second upper  
20 monocrystalline layer 5.

A photolithographic process step is then provided to define an aperture 8 in the oxide layer 7 and to selectively form a doped region 10. The dopant used for this region 10 has an opposite conductivity  
25 type, for instance P-type. A masked implantation step of B ions in the upper layer 5 allows formation of this P+ doped region 10, as shown in Figure 5. Through the same mask, a rare-earth ions doped region 9 is formed. For example, an ion implantation process step is  
30 performed to obtain a region 9 under the P+ doped region 10, as shown in Figure 5. Preferably, the rare earth ions are selected from the group comprising erbium (Er). A proper co-doping with other impurities, such as O, F, and N may also be used.

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An evaluation of the specific features of the semiconductor device structure 1 obtained according to the process previously disclosed will now be discussed. Er ions can be effectively pumped by electron-hole (e-h) recombination under a forward bias diode operation at temperatures below 200K. However, a phenomenon known as Auger de-excitation and back energy transfer strongly reduces the efficiency of light emission at higher temperatures.

According to the invention, these negative effects are fully inhibited under reverse bias conditions, thereby allowing strong light emission to be achieved at room temperature. In fact, all of the implanted Er ions are incorporated in the depletion layer of a P/N junction or, alternatively, in the depletion layer of the base collector region of the bipolar transistor.

Since rare earth ions are incorporated in a depletion layer, electrical pumping of these ions can be achieved in different device structures, such as Schottky diodes, bipolar transistors, MOSFET devices, etc. Moreover, a sufficient acceleration space is provided before carriers enter the Er doped regions. Acceleration is provided either by tunneling in a reverse biased P/N junction or injected by the emitter-base junction of a transistor. Following this approach population inversion will be extended to all of the Er ions.

After having achieved laser operation at room temperature, an efficient electronic pumping effect can be maintained at room temperature according to the present invention. Therefore, a semiconductor laser device may be formed incorporating the rare-earth ions in a laser cavity which presents low losses at the emission wavelength.

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5           The most important limitation that the  
invention has overcome was an insufficient Er  
concentration to achieve a laser action at 1.54  $\mu\text{m}$  in  
the Er-doped silicon substrate using an integrated  
waveguide. Since erbium in silicon acts as a donor, a  
10 high concentration of free electrons in the region  
where erbium sits is present. To incorporate high Er  
concentration in silicon semiconductor, co-doping with  
impurities such as O and F plays a fundamental role.  
However, this co-doping also produces a strong donor  
15 activity of the Er ions resulting in a high  
concentration of free electrons in the region where Er  
sits.

Due to the donor behavior of Er, both the real and the imaginary part of the refractive index are strongly affected by the high free carrier concentration, and the mode tends to escape from the region where Er sits. Moreover, effective losses as high as approximately  $200\text{ cm}^{-1}$  can be obtained.

A problem to be solved results from the use of impact excitation of Er ions in reverse biased P/N junctions because of the existence of a dark region in the central portion of the depletion layer where carriers do not have enough energy to pump Er ions.

It has been experimentally demonstrated by Coffa et al., Appl. Phys. Lett. 73, 93 (1998) that a region of about 400 Å in the central portion of about a 1000 Å thick depletion layer is dark. Such a behavior is schematically shown in Figure 11. The peculiar feature of impact excitation is due to the existence of a threshold. If the energy of the carrier is lower than that required to promote the Er ions to the first excited state (0.8 eV) the process cannot occur. A second problem is that the Er ions sitting outside the depletion layer cannot be pumped by this mechanism. Consequently, they will not be excited but will adsorb light at 1.54 μm.

The Er population cannot be inverted in the central part of the diode since the energy of the carriers, produced by band to band tunneling and then accelerated by the strong electric field present at the junction, is not sufficient to pump Er. An effective pumping of Er ions to achieve population inversion and the capability of maintaining low losses in the Er doped waveguides is achieved by the inventive structure. How the invention solves these two problems is examined in detail below.

The inventive device and method solve all the previously discussed problems by incorporating all the implanted Er ions in the depletion layer of the P/N junction and providing: 1) a sufficient acceleration space before carriers enter the Er-doped regions, 2) population inversion extended to all the Er ions, and 3) inhibition of loss due to free electrons because the erbium ions are embodied in the junction depletion layer. The laser action would benefit from the extremely low loss that intrinsic Si exhibits at 1.54 μm. Er has been placed where the maximum of the mode sits. Since the erbium ions are in the depletion

region, the free carrier concentration strongly decreases and an effective loss as low as  $0.6 \text{ cm}^{-1}$  has been evaluated.

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**THAT WHICH IS CLAIMED IS:**

1. Semiconductor device for electro-optic applications of the type including at least a rare-earth ions doped P/N junction integrated on a semiconductor substrate, a cavity or a waveguide and a  
5 coherent light source, characterised in that said coherent light source is obtained incorporating said rare-earth ions in the depletion layer of said P/N junction.
2. Semiconductor device according to claim 1, wherein said P/N junction is reverse biased.
3. Semiconductor device according to claim 1, wherein said rare-earth ions doped P/N junction is the base-collector region of a bipolar transistor.
4. Semiconductor device according to claim 1, wherein said rare-earth ions are Erbium ions.
5. Semiconductor device according to claim 1, wherein said cavity or waveguide includes said P/N junction and is partially enveloped by a protective layer having a lower dielectric constant with respect  
5 to said junction.
6. Semiconductor device according to claim 1, wherein a buried reflecting layer is provided to delimit the bottom of said waveguide.
7. Semiconductor device according to claim 1, wherein said semiconductor substrate is a SOI substrate.

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5                    17. Semiconductor laser device according to  
claim 10, wherein said semiconductor substrate is a SOI  
substrate.

19. Semiconductor laser device according to claim 10, wherein a buried reflecting layer is provided to delimit the bottom of said waveguide.

21. A method for manufacturing a semiconductor device for electro-optic applications, said device including at least a rare-earth ions doped P/N junction integrated on a semiconductor substrate, characterised in that of providing a cavity or waveguide in said semiconductor substrate and a coherent light emitting source incorporating said rare-earth ions in the depletion layer of said P/N junction.

22. Method according to claim 21, wherein a biasing device is also provided to bias said P/N junction.





### Abstract of the Disclosure

A semiconductor device for electro-optic applications includes a rare-earth ions doped P/N junction integrated on a semiconductor substrate. The semiconductor device may be used to obtain laser action in silicon. The rare-earth ions are in a depletion layer of the doped P/N junction, and are for providing a coherent light source cooperating with a waveguide defined by the doped P/N junction. The doped P/N junction may be the base-collector region of a bipolar transistor, and is reverse biased so that the rare-earth ions provide the coherent light.

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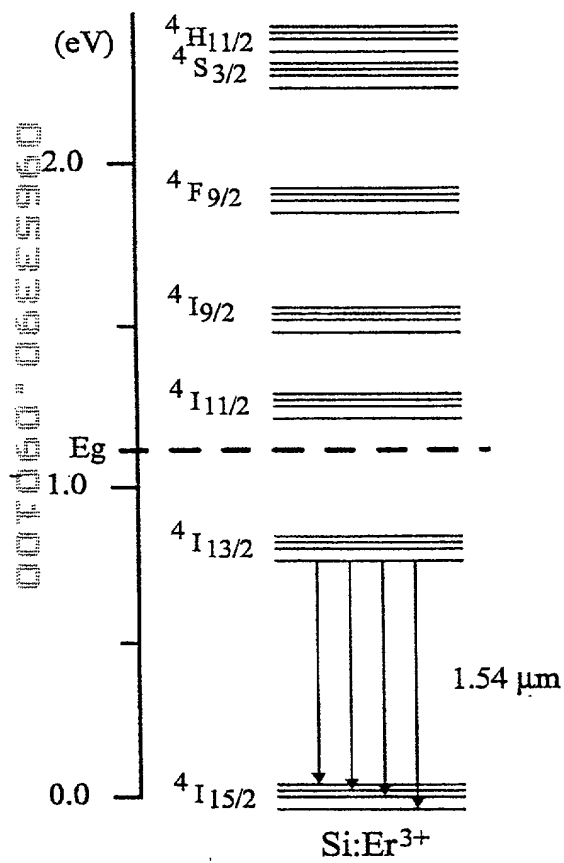


Fig. 1  
(PRIOR ART)

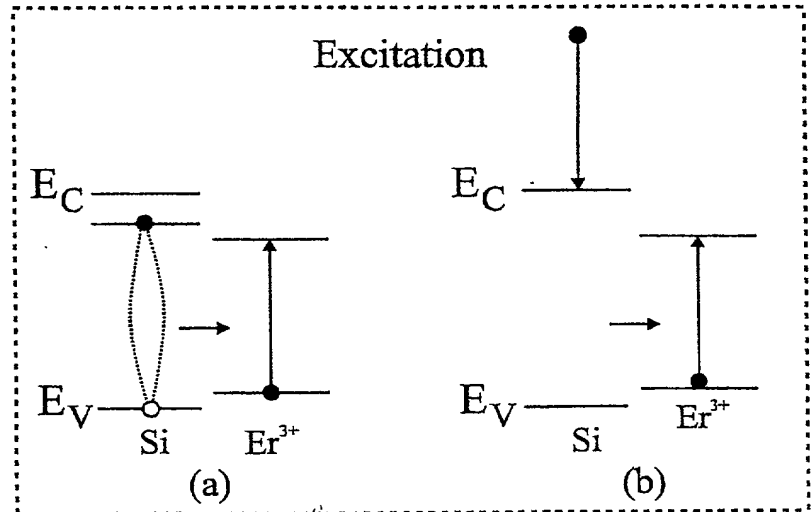


Fig. 2 (PRIOR ART)

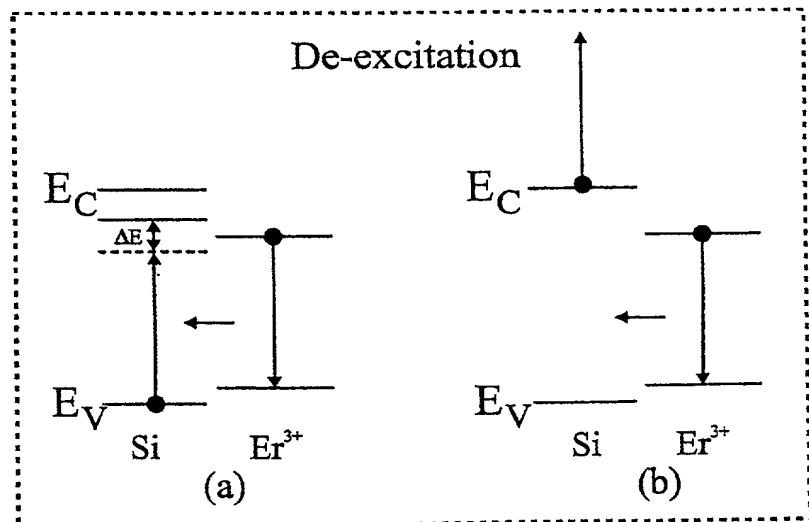
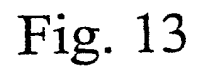
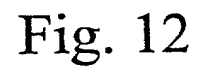
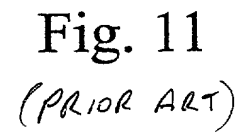


Fig. 3  
(PRIOR ART)



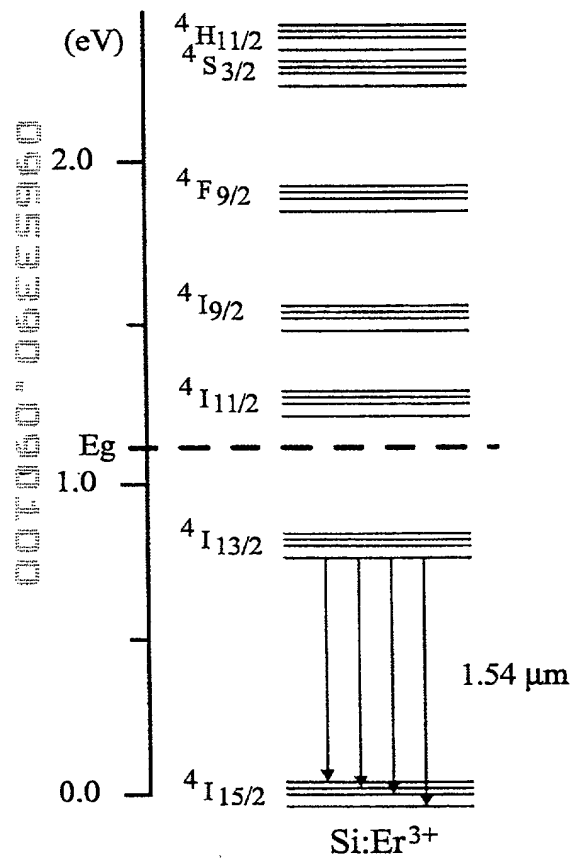


Fig. 1

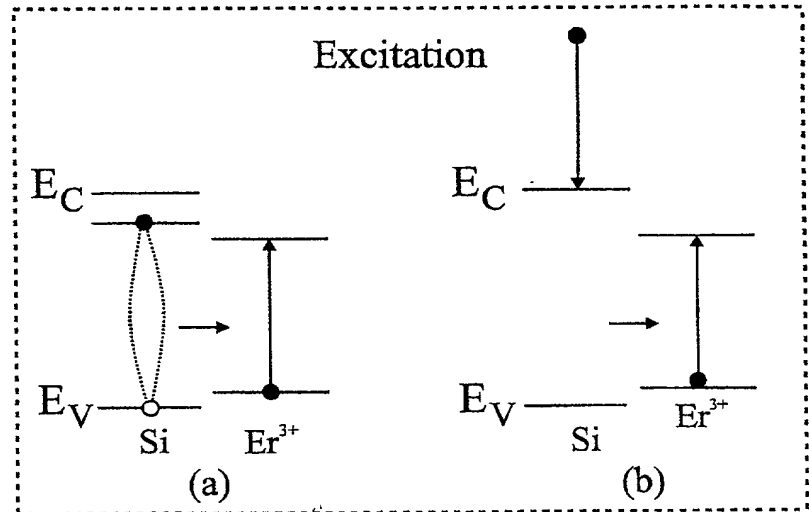


Fig. 2

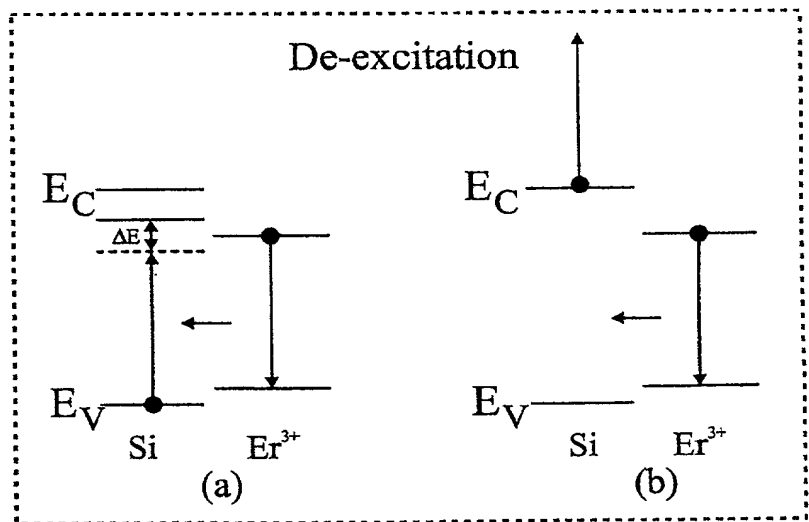


Fig. 3

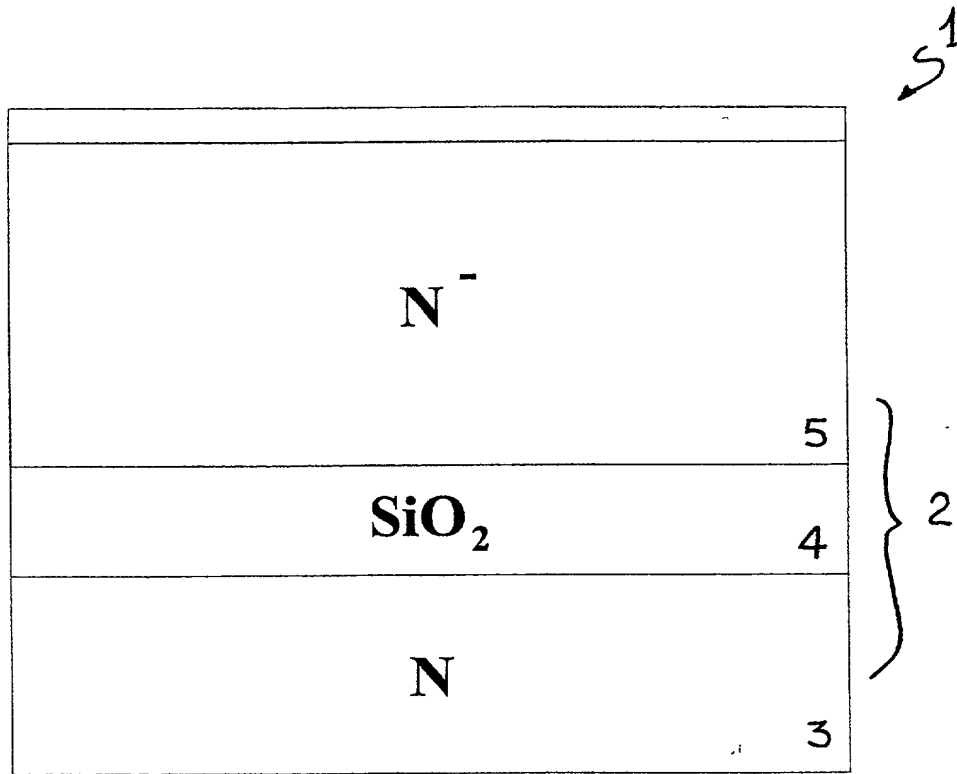


Fig. 4

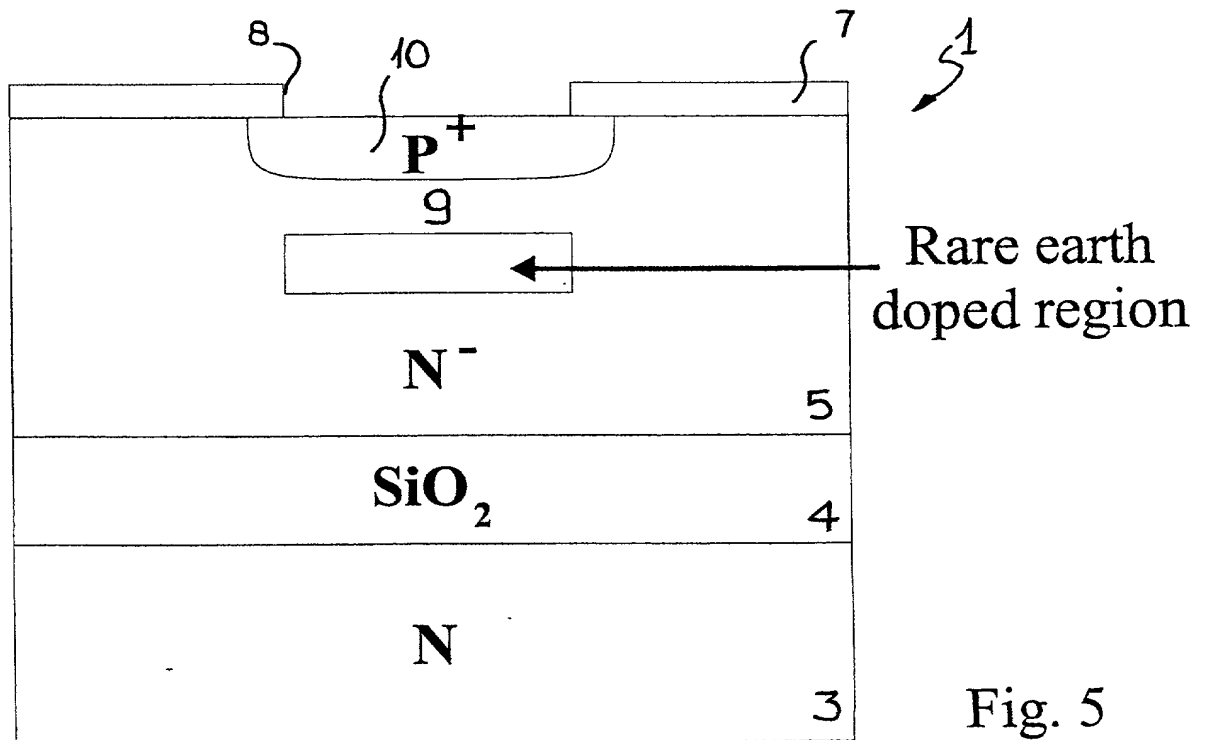
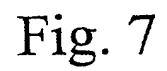
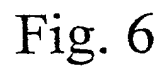


Fig. 5





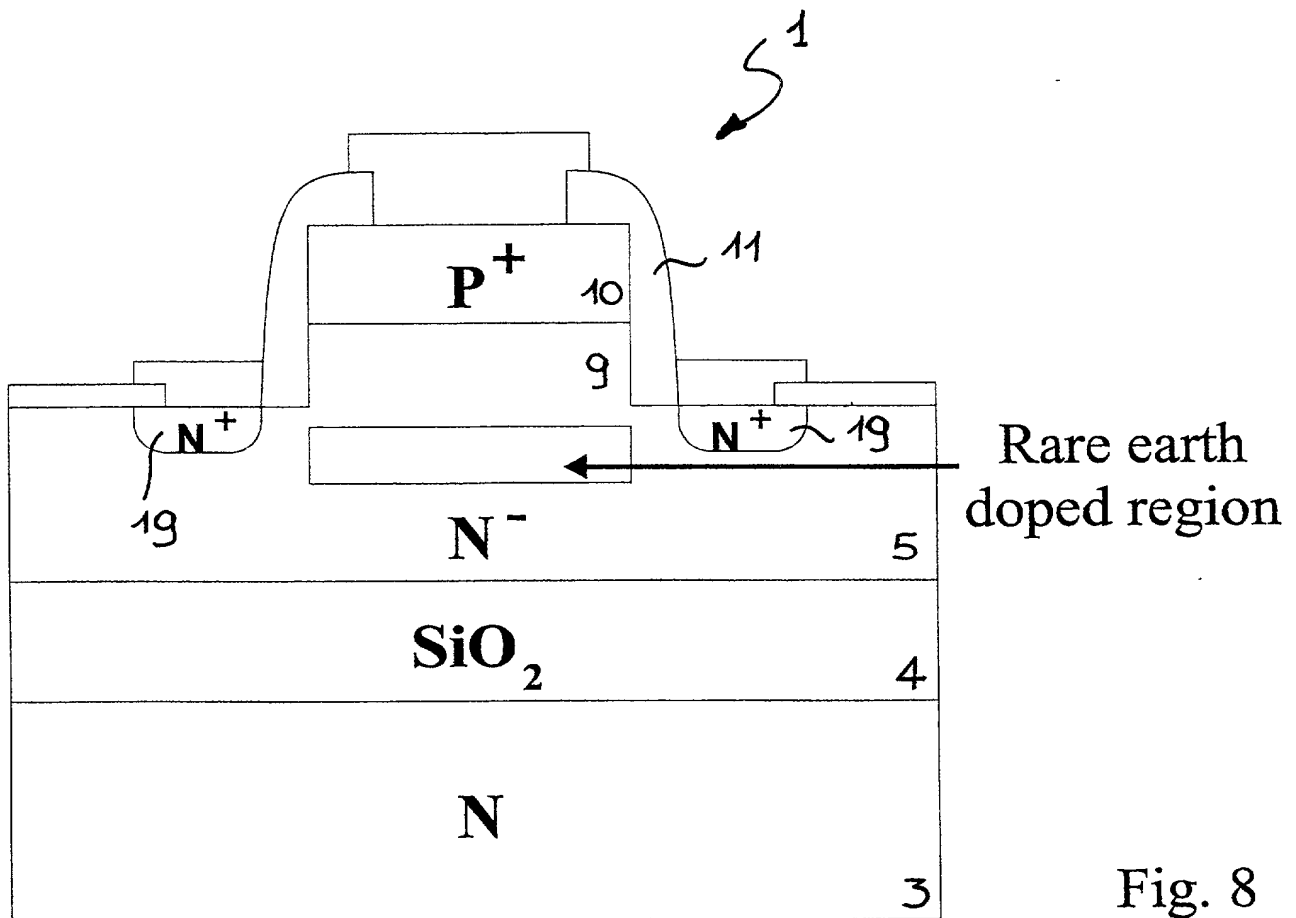


Fig. 8

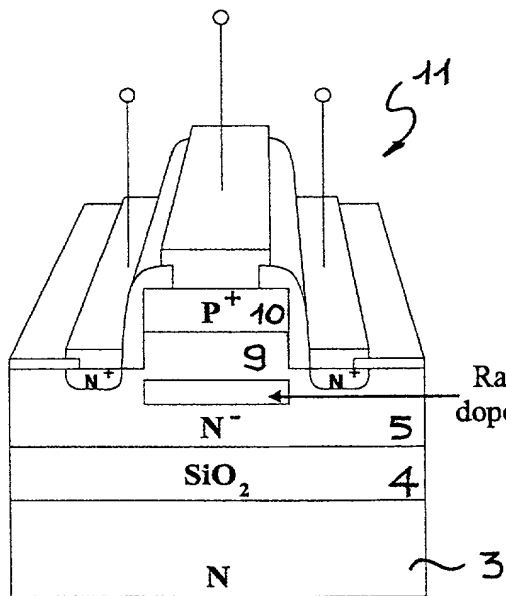


Fig. 9

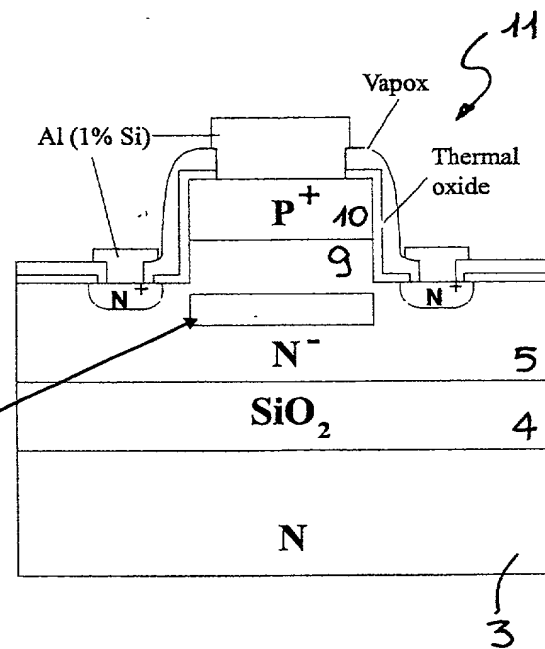


Fig. 10

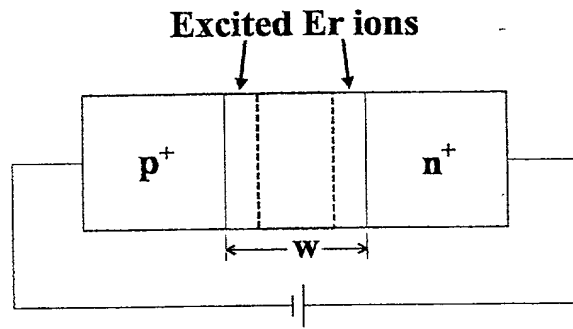


Fig. 11

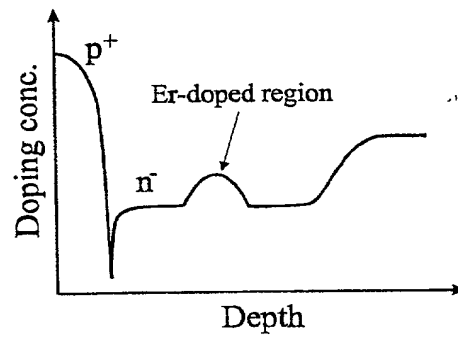


Fig. 12

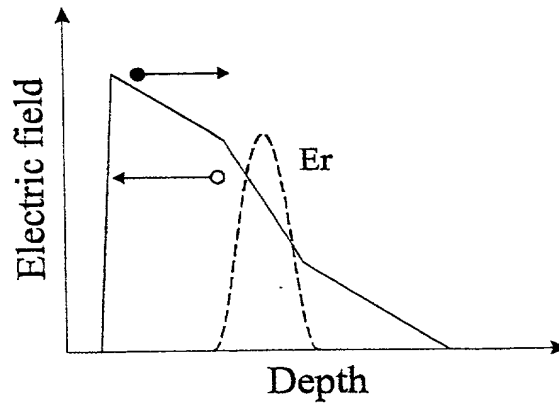


Fig. 13